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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Atty. Docket No. 05222.00108)

In re Application of: )  
Edward J. Gottsman )  
Serial No.: 09/824,355 ) Group Art Unit: 2171  
Filed: April 2, 2001 ) Examiner: n/a  
For: Matrix-Based User Interface and System for )  
Creating the Same )

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. Copies of the references cited below are enclosed along with a completed Form-1449.

**OTHER PRIOR ART- NON PATENT LITERATURE DOCUMENTS:**

1. [Http://www.smartmoney.com/marketmap/mapPage.cfm?showWeekStats=false;](http://www.smartmoney.com/marketmap/mapPage.cfm?showWeekStats=false;)

12/8/00

Banner & Witcoff, Ltd.  
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Telephone (312) 715-1000

This Information Disclosure Statement is being filed before the mailing of a first office action. Please charge any fees or credit overpayment associated with this paper to Deposit Account No. 01-0850.

Respectfully submitted,

**BANNER & WITCOFF, LTD.**



Charles L. Miller  
Reg. No. 43,805

Dated: July 3, 2001

By:

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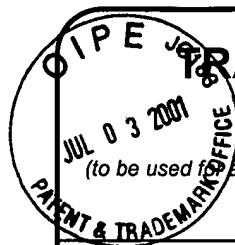
PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/824,355
Filing Date	04/02/01
First Named Inventor	Gottzman
Group Art Unit	2171
Examiner Name	n/a
Total Number of Pages in This Submission	Attorney Docket Number 05222.00108

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Banner & Witcoff, Ltd. Charles L. Miller
Signature	
Date	July 3, 2001

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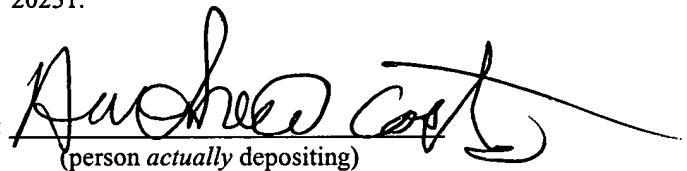
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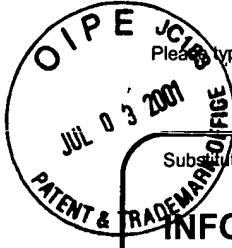
In the Application of: Gottzman

Serial No.: 09/824,355

Title: Matrix-Based User Interface and System for Creating the Same

Transmittal Letter (1 page) in duplicate  
Information Disclosure Statement (2 pages)  
Form PTO-1449 (2 pages) with cited references  
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Attorney Docket No.: 5222.00108



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~~Substitute~~ for form 1449A/PTO

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## **U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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